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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/900,503
				Filing Date	July 6, 2001
				First Named Inventor	Hu et al.
				Group Art Unit	3732
				Examiner Name	Jeffery A. Smith
Sheet	1	of	10	Attorney Docket Number	GUID-012CON

See Page 12 Due 11/4/04

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Documents		Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	452,131		May, 1891	Haughawout	
	A2	810,675		Jan., 1906	Richter	
	A3	2,296,793		Sep., 1942	Kirschbaum	
	A4	2,590,527		Mar., 1952	Fluck	
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	A12	3,882,855		May., 1975	Schulle et al.	TECHNOLOGY CENTER R3700
	A13	3,983,863		Oct., 1976	Janke et al.	
	A14	4,047,532		Sep., 1977	Phillips et al.	
	A15	4,049,002		Sep., 1977	Kletschka et al.	
	A16	4,052,980		Oct., 1977	Grams et al.	
	A17	4,226,228		Oct., 1980	Shin et al.	
	A18	4,230,119		Oct., 1980	Blum.	
	A19	4,306,561		Dec., 1981	de Medinaceli.	
	A20	4,366,819		Jan., 1983	Kaster.	
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	A22	4,428,368		Jan., 1984	Torii.	
	A23	4,461,284		Jul., 1984	Fackler.	RECEIVED
	A24	4,492,229		Jan., 1985	Grunwald	
	A25	4,637,377		Jan., 1987	Loop	RECEIVED MAY 27 2003
	A26	4,646,747		Mar., 1987	Windback.	
	A27	4,718,418		Jan., 1988	L'Esperance, Jr.	UP 3600

Examiner Signature		Date Considered
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²Unique citation designation number. ³Applicant is to place a check mark here if English language Translation is attached.

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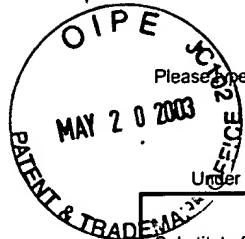
A28	4,726,356	Feb., 1988	Santilli et al.	
A29	4,726,358	Feb., 1988	Brady.	
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A33	4,803,984	Feb., 1989	Narayanan et a.	
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A41	4,949,707	Aug., 1990	LeVahn et al.	
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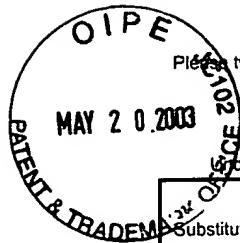
A60	5,231,974	Aug., 1993	Giglio et al.	
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A91	5,836,311	Nov., 1998	Borst et al.	RECEIVED GROUP 3600

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Examiner Name	Jeffery A. Smith				
Sheet	4	of	10	Attorney Docket Number	GUID-012CON

A92	5,846,193	Dec., 1998	Wright	
A93	5,846,194	Dec., 1998	Wasson et al.	
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A96	5,876,332	Mar., 1999	Looney	
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A108	5,967,972	Oct., 1999	Santilli et al.	
A109	5,976,080	Nov., 1999	Farascioni	
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A111	421,803	Mar., 2000	Koros et al.	
A112	6,017,304	Jan., 2000	Vierra et al.	
A113	6,019,722	Feb., 2000	Spence et al.	
A114	6,030,340	Feb., 2000	Maffei et al.	
A115	6,032,672	Jun., 1997	Taylor	
A116	6,033,362	Mar., 2000	Cohn	
A117	6,036,641	Mar., 2000	Taylor et al.	
A118	6,050,266	Apr., 2000	Benetti et al.	
A119	6,063,021	May., 2000	Hossain et al.	RECEIVED
A120	6,071,295	Jun., 2000	Takahaski	
A121	6,099,468	Aug., 2000	Santilli et al.	MAY 27 2003
A122	6,102,854	Aug., 2000	Carfier et al.	
A123	6,139,492	Oct., 2000	Vierra et al.	GROUP 3600

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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. 1	Foreign Patent Documents		Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵	(if known)	
B1		WO	87/04081	Jul., 1987	WO.	
B2		0 293 760	A1	Dec., 1988	DE	
B3		0 293 760	A2	Dec., 1988	DE	
B4		0 293 760	A3	Dec., 1988	DE	
B5		90 04513		June, 1990	DE	
B6		2 233 561		Jan., 1991	GB	MAY 22 2003
B7		0 630 629	A1	May, 1994	EP	TECHNOLOGY CENTER R3700
B8		WO 94/14383		Jul., 1994	WO.	
B9		WO 94/18881		Sep., 1994	WO.	
B10		WO 95/01757		Jan., 1995	WO.	
B11		WO 95/17127		Jun., 1995	WO.	
B12		668 058	A1	Aug., 1995		
B13		WO 96/00033		Jan., 1996	WO.	
B14		WO 97/10753		Mar., 1997	WO.	
B15		WO 97/32514	A3	Sep., 1997	WO.	
B16		WO 98/48703		Nov., 1998	WO.	
B17		WO 98/49947		Nov., 1998	WO.	
B18		WO 99/08585		Feb., 1999	WO.	MAY 27 2003
B19		WO 00/06041		Feb., 2000	WO.	
B20		0 993 806	A2	April, 2000	EP	

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B21	WO 00/42921	Jul., 2000	WO.	RECEIVED
B22	WO 00/42935	Jul., 2000	WO.	MAY 22 2003
B23	WO 00/42936	Jul., 2000	WO.	TECHNOLOGY CENTER R3700
B24	WO 00/42937	Jul., 2000	WO.	

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	C1	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retractor," Ann. Thorac. Surg. 21 (1976) 174.
	C2	Angelini, G.D., M.D. et al., "A Fiber-Optic Retractor for Harvesting the Internal Mammary Artery," Ann. Thorac. Surg. 49(1990;50:314-5).
	C3	Antinori, C. et al., "A Method of Retraction During Reoperative Coronary Operations Using the Favaloro Retractor," The Society of Thoracic Surgeons: 1989.
	C4	Beg, R.A. H. Naraghipour, E.B. Kay, and P. Rullo, "Internal Mammary Retractor," Ann Thorac. Surg. 39 (1985) 286-287).
	C5	Akins, C. W. et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Grafts Without Cardiopulmonary Bypass," American Heart Journal, vol. 107, No. 2, Feb. 1984, pp. 304-309.
	C6	Angelini, G. D., M. D., "A Simple Inexpensive Method of Heart Retraction During Coronary Artery Bypass Surgery," Ann Thorac Surg 46:246-247, Aug. 1988.
	C7	Archer, R., DO et al., "Coronary Artery Revascularization Without Cardiopulmonary Bypass," Texas Heart Institute Journal, vol. 11, No. 1, Mar. 1984, pp. 52-57.
	C8	Arom, K.V., et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery 1996;61:1271-2.
	C9	Arom, K.V. et al., "Mini-Sternotomy for Coronary Artery Bypass Grafting," The Annals for Thoracic Surgery 1996;62:1884-85.
	C10	Ballantyne, C. M., et al. "Delayed Recovery of Severely 'Stunned' Myocardium With the Support of a Left Ventricular Assist Device after Coronary Artery Bypass Graft Surgery," Journal of the American College of Cardiology vol. 10, No. 3, Sep. 1987, pp. 710-712.
	C11	Bedellino, M. M. et al., "The Cardiac Rag -Simple Exposure of the Heart," Texas Heart Institute Journal, vol. 15, No. 2, 1988, 134-35.

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INFORMATION DISCLOSURE
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Sheet 7 of 10

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Examiner Name	Jeffery A. Smith

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TECHNOLOGY CENTER R3700

C12	Benetti, F. J., et al., "Direct Coronary Surgery with Saphenous Vein Bypass Without Either Cardiopulmonary Bypass Graft or Cardiac Arrest," The Journal of Cardiovascular Surgery, vol. 26, No. 3, May-Jun, 1985, pp. 217-222.
C13	Bennetti, F. J., et al., "Direct Myocardial Revascularization Without Extracorporeal Circulation," Chest, vol. 100, No. 2, Aug. 1991, pp. 312-316.
C14	Bonatti, J. et al., "Single Coronary Artery Bypass Grafting -- A Comparison Between Minimally Invasive 'Off Pump' Techniques and Conventional Procedures," European Journal of Cardiac-Thoracic Surgery, 14 (Supp.1) (1998) S7-S12.
C15	Borst, C., et al., "Regional Cardiac Wall Immunobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart: the 'Octopus' Method," Circulation, Oct. 15, 1995, vol. 92, No. 8 Supplement 1, 1-177. Abstract from the 68.sup.th Scientific Sessions.
C16	Borst, C. et al., "Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass and Without Interruption of Native Coronary Flow Using a Novel Anastomosis Site Restraining Device (Octopus)," J. Am. Coll Cardiol, May 1996, vol. 27, No. 6, pp. 1356-1364.
C17	British Heart Journal, "Coronary Surgery Without Cardiopulmonary Bypass," pp. 203-205, 1995.
C18	Buffolo, E. et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thorac. Cardiovasc. Surgeon, 33 (1985) pp. 26-29.
C19	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pp. 316-317 (1990).
C20	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting", The Annals of Thoracic Surgery, 62:1545-6, 1996.
C21	Cartier, R. MD., "Triple Coronary Artery Revascularization on the Stabilized Beating Heart: Initial Experience," Montreal Heart Institute, CJS, vol. 41, No. 4, pp. 283-288, Aug. 1998.
C22	Chaux, A. and C. Blanche, "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, pp. 473-474, Oct. 1986.
C23	Cooley, D. A., "Limited Access Myocardial Revascularization," Texas Heart Institute Journal, pp. 81-84, vol. 23, No. 2, 1996.
C24	Correspondence and Brief Communications, Archives of Surgery - vol. 115, 1136-37, Sep. 1980.
C25	Cremer, J., MD, "Off-Bypass Coronary Bypass Grafting Via Minithoracotomy Using Mechanical Epicardial Stabilization," The Annals of Thoracic Surgery, 63:S79-83, 1997.
C26	DelRossi, A J and Lemole, GM, "A New Retractor to Aid in Coronary Artery Surgery," The Annals of Thoracic Surgery, vol. 36, No. 1, 101-102, Jul. 1983.
C27	Fanning, W. J. et al., "Reoperative Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, vol. 55, No. 2, Feb. 1993, pp. 486-489.
C28	Favaloro, R. G., et al. "Direct Myocardial Revascularization by Saphenous Vein Graft," The Annals of Thoracic Surgery, vol. 10, No. 2, pp. 97-111, Aug. 1970.

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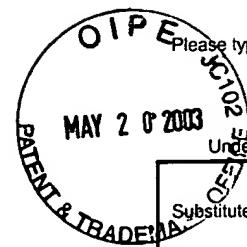
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				Application Number	09/900,503
Sheet	8	of	10	Attorney Docket Number	GUID-012CON

	C29	Fonger, J. D. et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transseptal Left Ventricular Assist," The Annals of Thoracic Surgery, vol. 57, No. 3, Mar. 1994, pp. 570-575.
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	C31	Green, GE., "Technique of Internal Mammary-Coronary Artery Anastomosis," The Journal of Cardiovascular Surgery, 78:455-59, 1979.
	C32	Groopman, J., "Heart Surgery, Unplugged; Making the Coronary Bypass Safer, Cheaper, and Easier," The New Yorker, Jan. 11, 1999, pp. 43-46, 50-51.
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	C41	Kresh, J. Y., et al., "Heart-Mechanical Assist Device Interaction," Trans. Am. Soc. Artif. Intern. Organs, vol. XXXII, 1986, pp. 437-443.
	C42	Lavargne, et al., "Transcatheter Radiofrequency Ablation of Atrial Tissue Using A Suction Catheter," PACE, vol. 12, Jan. 1989, Part II, pp. 177-186.
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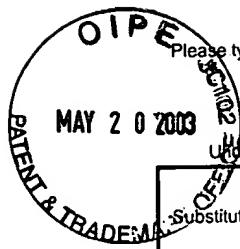
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				Application Number	09/900,503
				Filing Date	July 6, 2001
				First Named Inventor	Hu et al.
				Group Art Unit	3732
				Examiner Name	Jeffery A. Smith
Sheet	9	of	10	Attorney Docket Number	GUID-012CON

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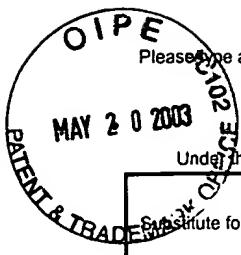
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		Group Art Unit	3732
		Examiner Name	Jeffery A. Smith
Sheet	10	of	10
		Attorney Docket Number	
		GUID-012CON	

	C65	Zumbro, G. L. et al., "A Prospective Evaluation of the Pulsatile Assist Device," The Annals of Thoracic Surgery, vol. 28, No. 2, Aug. 1979, pp. 269-273.
	C66	60/117,333 Looney et al. (provisional) filed in Jan. 24, 1999.
	C67	09/345,859 Looney et al. filed on Jul. 1, 1999.
	C68	09/438,670 Parsons, et al. filed on Nov. 12, 1999.
	C69	09/489,274 Brown et al. filed on Jan. 21, 2000.

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